

DRAFT SOCIAL IMPACT ASSESSMENT POLICY AND GUIDELINES

TRIM: 274563.2019



What is Social Impact Assessment?

Social Impact Assessment (SIA) is the process of assessing, monitoring and managing the intended and unintended social consequences, both positive and negative of planned interventions (projects, policies, programs etc.) and any social change processes invoked by those interventions. When undertaken as part of a Development Application (DA) process, a SIA is prepared to assist the relevant consent authority in its informed decision-making. Its primary purpose is to bring about a more socio-culturally, ecologically and economically sustainable and equitable environment.

SIA, as a process includes the effective engagement of affected communities in participatory processes of identification, assessment and management of social impacts. Although SIA is used as an impact prediction mechanism and decision-making tool in regulatory processes, equally important is the role of SIA in contributing to the ongoing management of social issues throughout the whole project development cycle, from conception to post-closure. Like all other fields of practice, SIA is a community of practice with its own paradigm of theories, methods, case histories, expected understandings and values. What is meant and implied by 'social impact assessment' is the understanding of it within the SIA paradigm rather than any dictionary interpretation of the words, social, impact, or assessment.

This paradigm is embodied and articulated in the International Principles for Social Impact Assessment which is provided in this document following the Policy Statement.

1. PURPOSE

- 1.1 The Social Impact Assessment Policy seeks to:
 - a) Acknowledge the importance and rationale for Social Impact Assessment (SIA) processes and provide a framework for ensuring that SIA is effectively integrated into Council's decision-making processes;
 - b) Identify and manage the likely social impacts of proposed developments, policies, plans and planning instruments to maximise social outcomes, community wellbeing and social sustainability;
 - c) Clarify when a SIA is required, how it is to be undertaken and how the outcomes arising from the assessment are to be implemented and managed. The policy is accompanied by Council's Social Impact Assessment Guidelines (SIA Guidelines);
 - d) Affirm Council's commitment to the process of SIA as a means of considering social issues comprehensively, including cumulative impacts and ensuring the needs of the current and future populations are at the forefront of planning and decision-making processes.
 - e) Seek to promote a more socio-culturally, economically and ecologically sustainable and equitable environment while building capacity and social capital in the community.

f) Respond to and plan for incoming growth and needs of the Liverpool community in a holistic Strategic Consideration and timely manner.

2. LEGISLATIVE REQUIREMENTS

- a. Environmental Planning and Assessment Act 1979 Section 4.15, (EP&A Act)
 Controls all development within NSW and specifies the matters Council should take into consideration when assessing development applications, including the likely social impacts of developments and the public interest.
- b. Local Government Act 1993 (LGA 1993)
 Section 8A Guiding Principles for Councils:
 - 1(f) Councils should manage lands and other assets so that current and future local community needs can be met in an affordable way.
 - 1(h) Councils should act fairly, ethically and without bias in the interests of the local community.
 - 2(b) Councils should consider social justice principles.
 - 2(c) Councils should consider the long term and cumulative effects of actions on future generations.
 - 2(e) Council decision-making should be transparent and decision-makers are to be accountable for decisions and omissions.
- c. Liverpool Development Control Plan (DCP) 2008. Part 1 item 27,
 Council's DCP supports Section 4.15 of the Environmental Planning and
 Assessment Act 1979 to consider the social impacts of development applications,
 adopting the requirement for a Social Impact Assessment (SIA) to be submitted
 with development applications for specific types of developments.
 - d. LCC Social Justice Policy, 2018
 Council's Social Justice Policy provides the foundation and guiding principles for Council's commitment and actions to protect human rights for all its residents and promotes the key values of freedom, respect, equity and dignity.
 - e. Connected Liverpool 2050, Draft Local Strategic Planning Statement (LSPS)

The LSPS sets Liverpool City Council's strategic planning vision for the next 20 years. It lists planning priorities across four areas: Connectivity, Productivity, Liveability and Sustainability. The LSPS will inform what type of growth occurs in the local government area, where it occurs and when it occurs. It sets out actions to deliver on the planning priorities in order to meet the community's future vision for Liverpool.

2.1 Gaming Machines Act 2001

The Act Specifies the conditions under which clubs and hotels are required to lodge a *Local Impact Assessment (LIA)* with the Liquor Administration Board and with Council and other community agencies.

2.1 Liquor Act 2007

The Act Specifies the circumstances under which an application for a new liquor licence, variation of a liquor licence, or extended trading hours, require the applicant to lodge a *Community Impact Statement (CIS)* with the Office of Liquor Gaming and Racing (OLGR) and to notify Council in accordance with Section 48 of the Act.

- 2.2 State Environmental Planning Policy (Affordable Rental Housing) 2009, Part 2, provision of new affordable housing and Part 3, retention of low cost rental accommodation.
- 2.3 Requirements under other Commonwealth and State legislation, including:
 - a) NSW Disability and Services Act 1993
 - b) Federal Disability Discrimination Act 1992

3. DEFINITIONS

Community – Any social group who could be affected by a proposed change or project including:

- a) Those who live nearby;
- b) Those who will hear, smell or see a development or its effects;
- c) Those who are forced to relocate;
- d) Those who have an interest in, or are likely to be affected by, the new project but may not live in close proximity; and
- e) Those who may normally use the land where the project is to be located.

Community Impact Statement (CIS) – Prepared by applicants as part of the liquor licence application to the Office of Liquor and Gaming.

Cumulative Impacts – The total impact arising from a project, other activities (which may be under the control of others) and other background pressures and trends that may effect the outcome of a project. Analysing incremental impacts of a project combined with the effects of other projects often give a more accurate understanding of potential outcomes and impacts.

Development Application (DA) – Submitted by applicants seeking consent to develop land within a local government area.

Development Control Plan (DCP) – Sets out the controls guiding development within a particular area.

Distributional Impacts – The differing impacts across people affected by a project. This means consideration needs to be given to an equitable final distribution of costs, benefits and impacts arising from a project.

Local Environment Plan (LEP) – Legal instrument that imposes standards to control development within a local government area.

Local Impact Assessment (LIA) – Prepared by applicants as part of a gaming machine application to the Office of Liquor and Gaming.

Mitigation – A mitigation makes circumstances less harsh, takes the edge off an effect, reduces the impact of the effect, or lessens its seriousness or extent. In the context of social impact assessment, a mitigation measure is usually something additional to what is proposed rather than a change to the proposal.

Peer Review – An evaluation of scientific, academic, or professional work conducted by others working in the same field. In the context of this Policy, Council may commission an expert witness to review a submitted social impact assessment report and ask them to provide commentary, identify any issues and put forward recommendations. Peer reviews help to maintain the quality and integrity of SIA.

Precautionary Principle - Where there are threats or potential threats of serious social impact, lack of full certainty about those threats should not be used as a reason for approving the planned intervention or not requiring the implementation of mitigation measures and stringent monitoring.

Social Impacts - Social impacts are those which affect individuals and communities, the common or public good and / or the health and welfare of groups of people. Social impacts are not private interests and is considered as a change to one or more of the following concepts; people's way of life, culture, community, political systems, environment, health and wellbeing, personal and property rights, fears and aspirations.

Social Impact Assessment (SIA) – Process of assessing, monitoring and managing the intended and unintended social consequences, both positive and negative of planned interventions (projects, policies, programs etc.) and any social change processes invoked by those interventions. When undertaken as part of a DA process, an SIA is prepared to assist the relevant consent authority in its informed decision-making.

Social Impact Management Plans (SIMPs) – A management and monitoring tool to further identify, analyse and respond to social impacts that may arise during the lifecycle of a development. A more detailed explanation and Council's expectations are provided in appendix G.

Value Capture - The term 'value capture' or, more fully, 'land value uplift capture' describes the process for public recovery of some portion of increased and or created private property value as a result of a public infrastructure investment. The concept should be considered from an efficiency and equity perspective as well as a financing perspective.

Public infrastructure investment can attract private investment in real estate development and lead to the creation of significant real property value. Concept of value capture encourages the allocation of the uplift benefits in an equitable way by tapping into the monetary value created for beneficiaries The 'beneficiary pays' principle lies at the heart of successful value capture programs.

4. POLICY STATEMENT

- 4.1 Liverpool City Council is committed to apply a SIA process as a means of considering social issues and impacts comprehensively and consistently in its planning, policy and decision making to have a proactive stance to generate better outcomes.
- 4.2 This policy is grounded in the social justice principles of sustainability, access, equity, participation and human rights, and is governed by Council's Social Justice Policy.
- 4.3 Demand for a greater focus on social impact is driven by:
 - a) A changing demographic profile and pressures arising from the growth and positioning of Liverpool as the third CBD of Sydney and its importance for South Western Sydney;
 - Rapid population growth and demand for development including infrastructure to support the growth
 - The construction of Western Sydney Airport and Liverpool as the third CBD of Sydney.
 - b) Increasing awareness among planning authorities of the need to apply social impact analysis in making decisions about development and land use; and
 - c) Increasing emphasis by Council and the community in considering social issues.
 - d) Increased demand for community participation in decision making processes.
- 4.4 Having a process to include SIA as part of the planning and development assessment process allows Council to:
 - a) Enhance consistency and transparency;
 - b) Ensure that the opportunity for positive impacts are maximised and that potential negative impacts are avoided, minimised or mitigated;
 - c) Prioritise community needs and ensure they are met in an equitable and inclusive way.
 - d) Acknowledge that social justice and environmental justice are intrinsically interconnected and ensure the environmental, social and economic qualities of the local area are enhanced as a result of permitted development.
 - e) Facilitate and/or request a genuine community engagement process and ensure consideration of community feedback;
 - f) Contribute to education, training and employment, capacity building and ensure a greater understanding of certain developments and interventions within the community, and
 - g) Give consideration to the alternatives of any planned intervention particularly in cases of unavoidable impacts.
- 4.4.1 Under this Policy, Council will:
 - Require a Social Impact Comment (SIC) or a Comprehensive Social Impact Assessment (CSIA) as a component of applications for specific types of development;
 - b) Require an CSIA for significant new or revised strategic land use plans, including LEP and master plans;

- c) Require an SIA for new, staged or revised projects, policies, or plans that may trigger social change;
- d) Require the consideration of social impacts in Council's reporting processes to further enhance Council policy and decisions;
- e) Review requests from other government agencies for consideration of social impacts, for example, liquor and gaming applications and new planning policies and submissions; and
- f) Review policies, plans or projects of State or Federal government agencies that may have an impact on the community and make submissions.

4.4.2 International Principles Specific to SIA Practices

The "International Principles for Social Impact Assessment" is a statement of the core values shared by the SIA community, together with a set of principles to guide SIA practice to include consideration of 'the social' in impact assessment generally. Principles which are endorsed by the IAIA (International Association for Impact Assessment), in addition to providing an overarching look at the concepts governing the SIA practice, are also used as a basis for developing and or revising sector, national and local guidelines.

- a) Equity considerations should be a fundamental element of impact assessment and of development planning.
- b) Many of the social impacts of planned interventions can be predicted.
- c) Planned interventions can be modified to reduce their negative social impacts and enhance their positive impacts.
- d) SIA should be an integral part of the development process, involved in all stages from inception to follow-up audit.
- e) There should be a focus on socially sustainable development, with SIA contributing to the determination of best development alternative(s) SIA (and EIA) have more to offer than just being an arbiter between economic benefit and social cost.
- f) In all planned interventions and their assessments, avenues should be developed to build the social and human capital of local communities and to strengthen democratic processes.
- g) In all planned interventions, but especially where there are unavoidable impacts, ways to turn impacted peoples into beneficiaries should be investigated.
- h) The SIA must give due consideration to the alternatives of any planned intervention, but especially in cases when there are likely to be unavoidable impacts.
- Full consideration should be given to the potential mitigation measures of social and environmental impacts, even where impacted communities may approve the planned intervention and where they may be regarded as beneficiaries.
- j) Local knowledge and experience and acknowledgment of different local cultural values should be incorporated in any assessment
- k) There should be no use of violence, harassment, intimidation or undue force in connection with the assessment or implementation of a planned intervention.

- I) Developmental processes that infringe the human rights of any section of society should not be accepted.
- 4.5 Triggers for Social Impact Assessment
- 4.5.1 Specific types of developments, or developments of a particular scale, require the preparation of either a Social Impact Comment (SIC) or a Comprehensive Social Impact Assessment (CSIA) as per the SIA Policy Guidelines and the proposed applicant is required to refer to the guidelines in Appendix B to C. If a development proposal is consistent with one of the specific developments proposals identified in Table 1, an applicant is required to complete and submit a SIC at the minimum or a CSIA to Council for assessment.

TABLE 1	
Planning Instruments	 Any changes to strategic land use plans or master plans including land rezoning, unless deemed to be of minor impact by the Community Planning or Planning & Transport Strategy units Any changes to the LEP which propose reclassification of community land to operational land Major urban renewal projects
Residential development	 Applications for development of, or major changes to: Residential flat buildings greater than 20 units and those that seek variation greater than 10% from the DCP / LEP density (height/FSR) controls. Multi-dwelling housing that seek variation greater than 10% from the DCP / LEP density (height/FSR) controls. Residential subdivision that seek variation greater than 10% from the DCP / LEP density (height/FSR) controls. Affordable housing, within the meaning of SEPP (Affordable Rental Housing) 2009 – excluding secondary dwellings Any development that results in a reduction of affordable housing and mixed tenure. Any development that results in a reduction of publicly accessible open and recreation space. Housing for seniors or people with a disability, within the meaning of the SEPP (Housing for Seniors or People with a Disability) 2004 Student housing Caravan parks, manufactured home estate Boarding Houses
Commercial development	Applications for development of, or major changes to: Packaged liquor outlets Hotels (bars, pubs, taverns), nightclubs and registered clubs Applications for liquor licences and gaming machines Extension of trading hours for licenses premises Gambling outlets Restricted premises (e.g. sex shops) Gun shops Entertainment facilities Amusement centres Function centres (greater than 50-person capacity)

	Retail centres and other commercial development, including tattoo parlours
Sensitive land uses	 Applications for development of, or major changes to: Education establishments Childcare centres Places of worship Sex services premises (e.g. brothels) Drug rehabilitation services – including methadone clinics and safe injecting rooms
Other types of development	 Applications for development of, or major changes to: Hospitals, medical centres and community health services, health consulting rooms Freight transport facilities Major transport facilities

4.5.2 Table 2 outlines activities by Council or other external agencies (including state government) initiated activities that will require an SIA, to be prepared by a Council staff member or externally commissioned, and submitted to the respective Council department or external agency for assessment.

TABLE 2	
IADLL Z	 Any new or changes to LEPs, DCPS, strategic land use plans or master plans including land rezoning, unless deemed to be of minor impact by the Community Planning or Planning & Transport Strategy units Any changes to the LEP which specifically propose reclassification of community land to operational land Major urban renewal projects Development of new or closures to Council-owned community facilities, including community centres, libraries, childcare centres and recreation facilities Development of Council policies that may impact the community Policies, plans and projects by other government agencies that may have an impact on the community. These include but are not limited to: metropolitan planning strategies, Aerotropolis land-use planning and
	associated infrastructure developments, relevant state environmental planning policies, state and federal plans, local health plans etc.

- 4.5.3 Modification to an approved development triggering Table 1, irrespective if an SIA has already been submitted in a previous stage of a related development, will be required to submit an SIA, considering cumulative and distributional impacts.
- 4.5.4 A proposal not listed in Table 1 must still consider and address the likely social impacts, as part of the Statement of Environmental Effects, in accordance with the EP&A Act 1979 Section 4.15, which will be reviewed by Council staff.
- 4.5.5 If a proposal not listed in Table 1 is deemed likely to have substantially more or less significant impacts or likely to result in cumulative social impacts that are

substantially more or less significant, Council reserves the right to request a social impact assessment.

4.5.6 The discretion to vary requirement for social impact assessment rests with the Director City Community and Culture and Director City Economy and Growth, with consideration of recommendations made by Council staff. Decisions will be made in writing providing justification.

5. REQUIREMENTS FOR UNDERTAKING SIA

- 5.1 It is the applicant's responsibility to arrange and fund a SIA study.
- 5.2 SIA must be conducted by an appropriately trained professional in the field of social science and who has had experience preparing and applying social impact assessment. A checklist can be found in the accompanying SIA Guide to assist in determining whether or not a consultant is appropriately qualified to complete an SIA for submission to Council.
 - 5.2.1 Applicants must provide sufficient information and analysis in the SIA, detailing the basis for identifying potential social impacts of their proposal. It is not sufficient to state that there will be no impact and that no mitigation or enhancement measures are required. Further information on what is required to be considered can be found in the SIA Guide.
 - 5.2.2 The length and detail of the SIA should be commensurate with the scale of the development and significance of the likely social impacts. The SIA Guide provides further guidance as to the determination of 'significance' and level of impact of certain types of developments.
 - 5.2.3 For planning proposals and re-zoning applications a Comprehensive Social Impact Assessment scoping paper outlining the potential social impacts will be required prior to gateway determination.
 - 5.2.4 Applicants must ensure that their SIA adequately addresses all potential negative social consequences as well as positive social benefits and mitigation and/or management plans. The SIA should address the following types of impacts:
 - a) Direct and indirect impacts;
 - b) Temporary (e.g. during construction) and permanent (e.g. post-construction) impacts;
 - c) The potential for cumulative and distributional impacts; and
 - d) The significance of potential impacts and mitigation measures relating to these.
 - 5.2.5 Staged developments by a single proponent, or multiple proponents proposing developments of a significant scale within close proximity, must

- consider the cumulative impact to address this within the SIA and opt to prioritise public benefit generating incentives.
- 5.2.6 The SIA should consider distributional equity, identifying who the likely beneficiaries of the proposal are and what these benefits are, as well as who is likely to suffer detriment and the nature of these detriments.
- 5.2.7 The SIA should demonstrate the strategic fit of the development, plan or policy proposal and how it relates to city, population projections, addressing key factors such as impacts on child care, schools, affordable housing, open space, libraries and to transport/walkability.
- 5.2.8 Council, the applicant, government agencies and the community all have an important role in the identification and assessment of social impacts. Community consultation with individuals and communities who are likely to be affected is a critical phase of the SIA process. Applicants are required to conduct a genuine consultation process with affected groups, and the scale and method used will depend on a number of factors, including:
- a) The community who are likely to be most affected;
- b) The significance of the potential social impacts;
- c) The duration of the impact;
- d) The likely beneficiaries of the proposed development; and
- e) Those likely to be most negatively affected.
- 5.3 Community engagement must be adequate, meaningful and take place at a reasonable period of time before a development application, policy or plan is submitted to Council. Information on conducting effective community engagement is provided in the SIA Guide. Depending on the size and scope, Council may set a minimum timeframe and methodology of consultations.
- 5.4 Council has an expectation that applicants will propose effective impact mitigation measures. Council will not accept proposed mitigations which are not deliverable by the applicant. Any mitigation proposed must meet the following criteria:
 - a) Tangible real, substantial, definite
 - b) Deliverable something that the applicant is able to deliver
 - c) Likely to be durably effective longer-term lasting impact.

Double counting, or misrepresenting mitigation measures as public benefits will not be accepted.

- 5.5 Ideas, recommendations and promises related to mitigations, without an accompanied commitment to deliver, such as signed agreements, bond payments, local employment and/or procurement strategies or other tangible evidence, will not be taken seriously. Mitigation measures may also be included in the conditions of consent if a proposal is approved. Further guidance on adequate mitigations can be found in the SIA Guide.
- 5.6 An SIA should be considered with any public benefit offer associated with a Voluntary Planning Agreement (VPA), Developer contributions or other funding

mechanisms (such as Section 7.11 and 7.12). Public benefits will be determined by Council, with the proponent, and may be linked to the recommendations with the commissioned SIA, or an alignment with Councils strategic documents, including but not limited to the provision of facilities and Recreation and Open Space for community use.

- 5.7 The discretion to use SIA as part of VPAs and Section 7.11 and 7.12 plans rests with the Director City Economy and Growth and Director City Community and Culture, on consideration of recommendations made by Council staff.
- 5.8 If Council deems the SIA incomplete or insufficient and requires more detailed analysis, it reserves the right to return the SIA to the applicant for revision.

6 ASSESSMENT PROCESS

- 6.1 It is strongly recommended that applicants discuss the proposed approach to conduct an SIA or CSIA with Council officers prior to commencement. Information on the referral and assessment process can also be found in the SIA Guide.
- In determining consent or refusal, Council needs to be satisfied that the identified social impacts of proposed developments, policies, plans or planning instruments have been appropriately addressed and respond to social justice principles. For reference, a copy of Council's Social Justice Policy can be found on Council's website.
- 6.3 Council officers will assess the SIA or CSIA submitted, undertaking a thorough assessment of all social impacts, evidence of community input and where required, clear impact mitigation measures that meet the criteria set out in section 4.7.12.
 - 6.3.1 At its discretion, Council may:
 - a) Seek independent expert advice about specific social impact issues relevant to planning proposals;
 - b) Obtain an independent external review of an applicant's SIA or CSIA; and
 - c) Commission its own SIA or CSIA.
 - 6.3.2 The developer as well as the Council is responsible for ensuring that the community is notified about a development and, for undertaking community consultation on the social impacts of a particular development. (Formal submissions can be made through Council's eplanning portal and Council's Community Participation Plan).
 - 6.3.3 For significant plans or proposals, Council may also consult through its online engagement portal and hold community feedback sessions. Depending on the nature of the proposed development, Council may also seek advice from relevant government agencies, i.e. NSW Health.
 - 6.3.4 After Council officers have made their assessment, they will either:

- a) Recommend approval: This may involve appropriate consent conditions to ensure that any identified social impacts are managed in accordance with the policy. Council may request a social impact management plan in specific cases.
- b) Recommend refusal: Refusals will be based on aspects of the development that are not appropriate for the site or wider area, do not comply with planning instruments and/or are inappropriate for other reasons identified in the assessment.
- 6.3.5 If the proposal is referred to Liverpool Local Planning Panel, Council will provide a copy of the SIA (and peer review, if undertaken) and any comments for review and consideration of the potential social impacts.
- 6.3.6 If a planning or development proposal is approved, then Council and the applicant will monitor the social impacts and conditions of consent. Some developments may have conditional consents for a period of time. Council may request a (Social Impact Management Plan) SIMP or a report on the commitments made by the developer.

7 LIQUOR AND GAMING MACHINE LICENCE APPLICATIONS

- 7.1 The determination of liquor and gaming machine licence applications is made by the Liquor and Gaming NSW and the Independent Liquor and Gaming Authority (ILGA). Council recognises its important role in reviewing and making comment on liquor licence and gaming machine applications made to L&GNSW, which can affect the outcome of liquor licensing applications.
- 7.2 It is Council's responsibility under the EP&A 1979 and LGA 1993, to consider social impacts as part of the DA process for some premises where liquor will be sold and/or gaming machines will be introduced, including hotels, on-licence premises and retail premises. Council assesses the social impacts arising from but not limited to design, location, trading hours, access, signage, fit out, acoustic treatments, the sale/consumption of alcohol and increased gambling at an outlet.
- 7.3 As a matter of routine, Council obtains each year the list of licensed premises within the LGA from the Department. This data is mapped on GIS and referred to when a DA for a licensed premise is received or Council is notified of a liquor licence application.

7.4 Monitoring and Review:

- 7.4.1 Council will continue to develop its in-house specialist knowledge to manage SIA.
- 7.4.2 Council will continue to promote awareness of social impacts and the SIA process to applicants and the wider community.
- 7.4.3 Council will evaluate the impact of this Policy and SIA Guide every two years to identify further opportunities for improvement.

AUTHORISED

Council Resolution

EFFECTIVE FROM

[26 August 2015]

REVIEW DATE

November 2019

DEPARTMENT RESPONSIBLE

City Community and Culture (Community Development and Planning)

CONSULTATION

City Economy Planning and Growth (Development Assessment and Planning and Transport Strategy)

City Corporate (Governance and Legal Services)

VERSION

	Adopted by	Changes made	Date	TRIM number
1	General Manager	None	3 April 2012	027483.2012
2	Council resolution	Complete review	26 August 2015	156452.2015
3		Complete review	November 2019	XXXXXX

SOCIAL IMPACT ASSESSMENT GUIDELINES

1. SOCIAL IMPACT COMMENT INITIAL ASSESSMENT FORM

Instructions for completing this form

Applicants of developments where social impact comment is required must complete this form.

The completed form should either be submitted to Council prior to the pre-DA meeting (where a pre-DA meeting has been requested) or submitted with the development application (unless it has been determined that a CSIA report is required and is submitted with the development application instead).

SOCIAL IMPACT COMMENT INITIAL ASSESSMENT FORM					
Applicant's details:		Owner's details (i	Owner's details (if different to applicant):		
Name			Name	/	
Postal add	lress		Postal address		
Email			Email		
D.			DI.		
Phone		Mobile	Phone	Mobile	
Proposal	details:				
Lot number	er & Registe	ered plan number			
Site addre	SS				
Brief desc	ription of de	evelopment proposal			
1. Popul	1. Population change				
Will the development result in significant change/s to the local area's population? (either permanently and/or temporarily) Explanation: Changes to the size, structure and capacity of the population can have significant implications for the provision and adequacy of community facilities, services, community cohesion and/or social sustainability					
Yes No	If yes, briefly describe the impacts below				

	Describe your proposed mitigations of negative impacts or enhancements of positive impacts below			
2. Hous	=			
Will the p	proposal increase or reduce the quantity, quality, mix, accessibility and/or affordability ag?			
_	ion: A mix of housing types, sizes and costs is necessary for social diversity (in terms of age,			
_	cycles, income, cultural background) and social inclusiveness. Retention or expansion of			
on lower is	housing is necessary for social equity and to avoid displacement of individuals and families ncomes			
Yes _	If yes, briefly describe the impacts below			
162				
No 🗆				
	Describe your proposed mitigations of negative impacts or enhancements of positive			
	impacts below			
3. Accessibility				
	development improve or reduce physical access to and from places, spaces and			
transport?				
	Explanation: 'Access for all' is an essential component of a fair and equitable society. Accessible			
•	ents encourage inclusive communities, improve affordability of goods and services, maximise public transport, pedestrian and cycle networks and provide convenient and continuous			
	ravel (thereby promoting healthy, sustainable lifestyles).			
•	ation must also be given to accessibility for people with a disability. Refer to Council's			
Disability	Strategy 2012-2017 available for download from Council's website			
Yes \square	If yes, briefly describe the impacts below			
No \square				
No 🗌				
	Describe your proposed mitigations of negative impacts or enhancements of positive			
	impacts below			

4 Comr	nunity and Recreation Services / Facilities				
•					
Will the development increase, decrease or change the demand or need for community, cultural					
	and recreation services and facilities?				
_	Explanation: Access to diverse and adequate community and recreation services and facilities is				
-	necessary for physical and mental health, well-being, personal productivity, social cohesion and social				
	ility. Examples of facilities include community centres, leisure centres, recreation centres,				
sports field	ds and playgrounds				
l. —	If yes, briefly describe the impacts below				
Yes					
No 🖂					
No L					
	Describe your proposed mitigations of negative impacts or enhancements of positive				
	impacts below				
5. Cultu	ral and Community Significance				
	evelopment impact on any items or places of cultural or community significance?				
-	ion: There may be certain places, items or qualities that are culturally valuable or significant				
	mmunity. They provide significant meanings and reference points for individuals and groups.				
_	include specific sites of Aboriginal significance. The acknowledgement and protection of				
	ses, items or qualities is a key element in building strong and resilient communities.				
	nation about Liverpool's cultural and linguistically diverse communities, refer to Council's				
LEAPS M	ulticultural Plan available for download from Council's website				
., _	If you briefly describe the impacts below				
Yes 🗌	If yes, briefly describe the impacts below				
No 🖂					
	Describe your proposed mitigations of negative impacts or enhancements of positive				
	impacts below				
	1				

6. Com	munity identity and Sense of Belonging
within ar Explanati interaction provision (town cer Consider proposal.	development strengthen or threaten opportunities, social cohesion and integration and between communities? tion: Social cohesion and integration requires places and spaces for informal and safe social and Developments can increase or decrease these interaction opportunities through their (or otherwise) of safe and connected pathways and linkages and attractive gathering places attres, parks, squares / plazas, civic spaces and streets) ration should be given to incorporating principles of good urban design into the development Refer to the Creating Places for People: An Urban Design Protocol for Australian Cities, for download from the Federal government's Urban Design website
Yes No	If yes, briefly describe the impacts below
	Describe your proposed mitigations of negative impacts or enhancements of positive impacts below
7. Healt	th and Well-being
Will the pursuits Explanation improving increasing Will the Difference Consider	development strengthen or threaten opportunities for healthy lifestyles, healthy physical activity and other forms of leisure activity tion: Developments can increase or decrease opportunities for healthy lifestyles through gor reducing the livability of places (due to things such as safety, noise, dust, aesthetics) or gor decreasing opportunities for: Valking, cycling, play and other physical activities; ealthy food choices; and rinking, gambling and smoking ation should be given to incorporating healthy urban design principles into the development Refer to the Healthy Urban Development Checklist, available for download from the NSW
Yes□	If yes, briefly describe the impacts below

	Describe your proposed mitigations of negative impacts or enhancements of positive impacts below
	e and Safety
	levelopment increase or reduce public safety and opportunities for crime (perceived or
actual cri	ime)? ion: Developments can increase or decrease perceived and actual safety. For example,
through g	penerating increased traffic, providing venues that may attract unruly behaviour. This can social cohesion and integration however impacts can be mitigated by appropriate design, traffic and management.
Safer by Communi	Design principles should be considered in the development proposal. Refer to Council's ity Safety and Crime Prevention Strategy available for download on Council's website. The
	evention Through Environmental Design (CPTED) Guidelines are available for download on Police website
Yes	If yes, briefly describe the impacts below
No	
	Describe your proposed mitigations of negative impacts or enhancements of positive
	impacts below
9. Local	Economy and Employment Opportunities
opportun Explanat inclusiven population	development increase or reduce the quantity and/or diversity of local employment lities? (temporary or permanent) ion: Unemployment and low income are associated with poor health and reduced social less and resilience. Accessible and diverse local jobs (suited to the capacities of local lins) reduce the risk of unemployment (and the associated poorer health and social lility outcomes)
Yes	If yes, briefly describe the impacts below
No 🗌	

	Describe your proposed mitigations of negative impacts or enhancements of positive impacts below	
10. Need	Is of Specific Population Groups	
Will the development increase or decrease inclusive opportunities (social, cultural, recreational, employment, governance) for groups in the community with special needs? Explanation: Council has a Social Justice Policy, which promotes access to life opportunities (e.g. jobs, education, full participation in the cultural life of the community) and inclusiveness for all (including those with special needs – young people, aged population, CALD communities, Aboriginal community, people with a disability, children and women). Developments can increase inclusiveness through the provision of culturally appropriate facility design and programs, and the avoidance of communication barriers. Refer to Council's Community Strategic Plan, Growing Liverpool 2023, Social Justice Policy, LEAPS Multicultural Plan, Youth Strategy and Disability Strategy available for download on Council's website. Yes If yes, briefly describe the impacts below		
No _	Describe your proposed mitigations of negative impacts or enhancements of positive impacts below	

2. **COMMON SOCIAL IMPACT TYPES**

There are a wide range of potential social impacts associated with different types of development. Some social impacts are typical of particular types of development – as summarised in the tables below. Table B1 provides examples of impacts for particular types of development requiring a Social Impact Comment and Table B2 provides lists examples of impacts for the types of development requiring a Comprehensive Social Impact Assessment. These tables are not exhaustive however they provide an initial point of consideration for applicants in what Council expects to be included in a social impact assessment.

Table B1: Common social impact types – Social Impact Comment

Development type	Specific development proposal	Social aspect	Potential impacts
Residential development	 Applications for development of, or major changes to: Residential flat buildings greater than 20 units Multi-dwelling housing greater than 20 dwellings Residential subdivision greater than 20 dwellings Affordable housing, within the meaning of SEPP (Affordable Rental Housing) 2009 – excluding secondary dwellings including student housing, caravan parks and backpacker's accommodation Housing for seniors or people with a disability, within the meaning of the SEPP (Housing for seniors or people with a disability) 2004 	Accommodation	- Housing choice - Displacement - Loss of affordable housing
Commercial development	 Child care centres and entertainment facilities Amusement centres and Function centres (greater than 50-person capacity) Retail centres and other commercial developments including tattoo parlours Applications for liquor licenses and gaming machines* 	Accessibility, Health and wellbeing	 Access to services and facilities Access to public transport options Accessibility for people with disabilities or mobility issues Access to fresh food and local produce Ageing in place Familiarity with neighbourhood Improved community participation opportunities Recreation opportunities Relaxation and sleep provision of open space in the immediate area (private and communal)

		Crime and safety	 Clear and accessible path to travel to shops and transport options Community cohesion and familiarity Domestic violence Population clustering Substance consumption or abuse Public violence Usability of streets or outdoor space Lay-out of master plan to ensure CPTED principles are met
		Population change	- Significant population change (size and characteristics) - Change in population density
		Community identity and sense of belonging	 Exclusion Ownership Familiarity with neighbourhood Perception of danger Improved community participation opportunities Sense of belonging or being unwelcome
Other types of development	- Places of public worship (greater than 100 person capacity) - Educational establishments - Health consulting rooms - Council-owned community	Health and wellbeing	 - Access to services and facilities - Familiarity with neighbourhood - Improved community participation opportunities - Recreation - Relaxation and sleep patterns
	facilities, including community centres, libraries, childcare centres and recreational facilities - Community land, as classified by the Local Government Act 1993	Accessibility	 Accessibility of building for disabled persons or people with mobility issues Access to transport options Parking
		Crime and safety	 Graffiti Vandalism and property damage Offensive language and behavior Public violence Safety of young people Substance consumption or abuse

	- Theft; on street, from cars, business or homes
Community identity and sense of belonging	 - Diversity - Inclusion/ Exclusion - Improved community participation opportunities - Sense of belonging or being unwelcome
Cultural and community significance	- Respect for culture and significant places

Table B2: Common social impact types – Comprehensive Social Impact Assessment

Development type	Specific development	Social aspect	Potential impacts
Residential development Applications for development of, or major changes to: - Residential flat buildings greater than 100 units - Development that results in a reduction of affordable housing - Boarding houses	of, or major changes to: - Residential flat buildings	Accommodation	- Housing choice - Displacement - Loss of affordable housing
	Accessibility	 Access to services and facilities Access to public transport options Accessibility for people with disabilities or mobility issues 	
		Health and Wellbeing	 Ageing in place Familiarity with neighbourhood Improved community participation opportunities Recreation opportunities Relaxation and sleep Provision of open space in the immediate area (private and communal)

		Crime and safety	 Clear and accessible path to travel to shops and transport options Community cohesion and familiarity Domestic violence Population clustering Substance consumption or abuse Public violence Usability of streets or outdoor space Lay-out of master plan to ensure CPTED principles are met
		Population change	- Significant population change (size and characteristics) - Change in population density
		Community identity and sense of belonging	 Exclusion Familiarity with neighbourhood Ownership Perception of danger Improved community participation opportunities Sense of belonging or being unwelcome
Commercial development of, or major changes to: - Packaged liquor outlets - Hotels (bars, pubs, taverns), nightclubs and registered clubs - Extension of trading hours for licenced premises* - Gaming outlets - Restricted premises (e.g. sex shops) - Sex services premises (e.g. brothels) - Gun shops	Health and Wellbeing	 Access to services and facilities Familiarity with neighbourhood Interaction and quality of social relationships Recreation Noise and activity (particularly late at night) Smoking near residential properties 	
	Crime and safety	 Graffiti Vandalism & property damage Littering Offensive language & behaviour Public violence/assault; Substance consumption and abuse Theft Usability of streets and outdoor spaces Noise and activity (particularly late at night) Safety of workers (safe work practices) Safety of patrons Substance consumption and abuse Public violence 	

		Local economy	 Contribution to local economy Employment opportunities Access to entertainment options for young people
		Accessibility	 Access to goods, services and facilities Affordability and choice Access to public transport (bus stops and train stations) - Connectivity Interaction and quality of social relationships for residents
Transport Infrastructure Applications for development of, or major changes to: - Freight transport facilities - Major public transport	Health and Wellbeing Crime and safety	Noise and activityRelaxation and sleepPedestrian access	
	Local economy and employment opportunities	- Contribution to local economy - Employment opportunities - Property values	
	Local economy and employment opportunities	- Contribution to local economy - Employment opportunities	
Commercial development	development Applications for development of, or major changes to: - Drug rehabilitation	Health and wellbeing	 Access to services and facilities Improved community participation opportunities
services including methadone clinics and safe injecting rooms - Hospitals, medical centres and community health services	Accessibility	 Accessibility of building for disabled persons or people with mobility issues Access to transport options Parking 	
		Crime and safety	 Graffiti Vandalism and property damage Offensive language and behaviour Public violence Safety of young people Substance consumption or abuse Theft – on street, from cars, business or homes

3. COMMUNITY CONSULTATION METHODS / OPTIONS

The overriding principle in community participation is to ensure people have the opportunity and the information to influence decisions that might affect them. Effective community participation requires time and commitment and a proactive approach to the less articulate groups and individuals in the community. Involving the community is one of the keys to increasing the extent that the development benefits local communities whilst ensuring the negative impacts on people are minimised.

Who is the affected community?

- Those who live nearby
- Those who will hear, smell or see a development or its effects
- Those who are forced to relocate
- Those who have an interest in the new project but may not live in close proximity
- Those who may normally use the land where the project is to be located

Effective Communication

- Notify people early
- Listen carefully and absorb the information
- Allocate sufficient staff resources to conduct the consultation
- Cultivate community networks or use informal channels
- Be sensitive to cultural aspects and differences
- Supply and ensure easy access to information (translate and interpret information or use advisers to explain technical issues in plain English)
- Seek agreement about the process of consultation
- Encourage open and full discussion
- Develop skills in group facilitation and conflict resolution
- Be independent

Useful community consultation resources

Below is a list of resources that may assist with consulting and engaging with affected communities:

- Community and Stakeholder Engagement, Environmental Impact Assessment Guidance Series, NSW Department of Planning and Environment, 2017
- Community Engagement Toolkit https://www.communityplanningtoolkit.org/sites/default/files/Engagement.pdf
- Guide to Better Regulation Consultation Policy, 2008
 NSW Department of Premier and Cabinet
- Health Impact Assessment: A Practical Guide, 2007
 Centre for Health Equity Training, Research and Evaluation
- Community Engagement in the NSW Planning System, 2003 PlanningNSW
- Ideas for Community Consultation, a report prepared for the NSW Department of Urban Affairs and Planning

Techniques to consult with the community

		Affected Community			,
Technique	echnique Objective		Local Street	Local Stakeholder Groups	Wider Community Groups
Letters Brochures and / or fact sheets Media releases Signage on land Displays on notice boards	 Informing the community 				
Questionnaires	Informing the communityObtaining specific feedback				
Discussions with adjoining property owners	Information exchangeInvolving the communityObtaining feedback				
Street meetings Community meetings Personal interviews	Information exchangeInvolving the communityObtaining feedback				
Workshops	 Information exchange Raising awareness Involving the affected community Obtaining specific and broad feedback 				
Community advisory committees	 Information exchange Raising awareness Involving the affected community Building support Obtaining feedback on a wide range of issues 				

4. DETERMINING LEVELS OF IMPACT SIGNIFICANCE

Assessment criteria	Neutral (neither positive or negative)	Minor Impact (positive or negative)	Moderate Impact (positive or negative)	Significant Impact (positive or negative)
Degree of change likely to arise from development relative to existing circumstances	Insignificant / No change	Some change, low significance	Some change, moderate significance	High level of change
The number and nature of people affected	None	Immediate neighbours only (small number)	Suburb level impact (100+ people)	LGA wide impact, substantial impact on a large number of people / groups
Direct or indirect impact	No impact	Indirect impact	Some direct impact	Direct impact
Duration of impact	N/A	During construction phase only	1-12 months	12 months or more
Community perception that a development will cause significant negative social impacts	None	Low level of reaction from the people affected	Moderate level of reaction from the people affected and/or wider community	High level reaction from the people affected and/or wider community
Potential cumulative impacts	Insignificant / None	Low likelihood of increase in overall impacts in the locality	Moderate likelihood of increase in overall impact in the locality	High likelihood of increase in overall impacts in the locality
	No action required	Complete Social Impact Comment Initial Assessment		nprehensive Social Assessment

5. COMPETENCY REQUIREMENTS FOR UNDERTAKING SOCIAL IMPACT ASSESSMENT

The Social Impact Assessment Policy requires that any social impact assessment must be conducted by "a professional in the social sciences field who has had experience preparing and applying social impact assessment." The following checklist can be used to determine whether or not a consultant is appropriately qualified to complete a SIC or CSIA for submission to Liverpool City Council.

- Does the consultant have qualifications and/or substantial field experience in the relevant technical area?
 - E.g. Qualifications in social science, human geography, urban or social planning or referees (in the profession or within Government) who can validate the relevant experience within the social impact assessment field
- Does the consultant have a good reputation in relation to the relevant work?
 E.g. Referees within the profession or Government who can confirm this
- Does the consultant use best practice methodologies in data gathering, community consultation and data analysis?
 - E.g. Such as those methods specified in these guidelines and by the International Association for Impact Assessment
- Does the consultant have experience preparing social impact assessments for local councils?
 - E.g. Can the consultant provide evidence of relevant experience?
- Does the consultant have a conflict of interest or a financial interest in the project?

6. STANDARDS FOR A COMPREHENSIVE SOCIAL IMPACT ASSESSMENT

Impact assessment is an important part of planning and decision making processes and as such social impact assessment should be given as much consideration as environmental or economic impact assessment.

In accordance with the Planning Institute of Australia's Social Impact Position Statement, Council expects that a comprehensive social impact assessment, submitted to Council, to meet the following criteria:

- a) The process is undertaken by a competent, professional social scientist and uses rigorous social science methodologies
- b) The process includes effective, timely and transparent public involvement
- c) The baseline (pre-change) situation is adequately researched and documented
- d) The scope of proposed changes is fully described
- e) Examples of similar changes are identified, including impacts likely to affect minority groups, different age, income and cultural groups and future generations
- f) Direct as well as indirect, long term and short term, positive and negative, passing and accumulating impacts are identified
- g) The relative equity of impacts is identified. It is important to identify how the benefits and losses will be distributed to different sections of the community
- h) Impacts over time and location are considered (e.g. local as opposed to state and national benefits and losses)
- i) Impacts which are not amendable to precise measurement are not excluded from consideration the assessment is and evaluation not a proof
- i) A review mechanism is included where appropriate
- k) The precautionary principle is applied in making an assessment

Adapted from Planning Institute of Australia's Social Impact Position Statement

The following additional criteria apply:

- a) The size of the document should be commensurate with the number and significance of the social issues likely to eventuate
- b) Size of document will not be regarded as an indicator of its accuracy, adequacy or completeness
- c) All SIAs of more than 10 pages are required to contain an executive summary of not more than 1000 words

7. Social Impact Management Plan (SIMP)

Its purpose is to further analyse potential adverse impacts identified by the SIA process and to ensure that the needs and conditions of people affected by a proposed project are fully taken into account in project design/delivery and that suitable mitigation measures are provided as needed. It should also contribute to enhancing opportunities for developmental benefits for affected groups.

For each significant social impact an appropriate mitigation strategy must be developed, delivered and reported on for Council's information. First, all available options should be sought to avoid impacts (e.g., through adjustment of project design, modification of protected area boundaries). If avoidance is not possible, appropriate measures to minimise the impact should be identified. Where avoidance is not possible or negative residual impacts remain after minimising, the SIA or SIMP should propose methods of adequately compensating affected groups for their losses over the time of the project.

Compensation mechanisms must be developed in consultation with legitimate representatives of Council and affected groups and designed in a way that they are socio-economically and culturally suitable, considering a range of options for in-kind, non-monetary and monetary compensation, as appropriate. The SIA and/or the SIMP could also explore opportunities for social enhancement. This might include:

- opportunities to enhance the participation of men and women in the project and how to support both genders in the development of sustainable livelihood activities and in deriving social and economic benefits from the project, or
- measures to enhance social inclusion and cohesion; to address vulnerability, capacity and equity issues; to empower weak, poor and marginalised social groups; and to enhance safety and security.
- 1. It is required to briefly describe each mitigation measure including the type of impact(s) it will address, its design, and operating procedures, as appropriate. Proposed mitigation measures should be technically and operationally feasible and culturally adequate. The benefits of measures should be distributed in an equitable manner across the affected population when the measure is a generalised action. Specify institutional arrangements and any needs for development of the capacity of communities or partners to implement the proposed measures.
- 2. The measures should be presented in a Social Impact Management Plan to demonstrate and facilitate their integration into project management. This includes confirming their feasibility, indicating resources and costs, responsibilities, their schedule for implementation, and evidence of completion.

8. **DATA SOURCES**

Liverpool Local Government Area (LGA) www.liverpool.nsw.gov.au			
Source	Data available		
Community Profile (Profile.id)	 Liverpool's key demographics: How many people live here? Who are we? What do we do? How do we live? 		
Social Atlas (Atlas.id)	Thematic maps (as above)		
Liverpool City Council website www.liverpool.nsw.gov.au	 Council's 10-year community strategic plan growing Liverpool 2023 Annual reports Other documents and publications e.g. Youth Strategy 		
Federal Government Agencies www.a	 Local community organisations may have reports containing relevant community consultation outcomes and research, such as: Anglicare Kari Aboriginal Resources Liverpool Migrant Resource Centre Liverpool Neighbourhood Connections South West Child, Adolescent & Family Services 		
Source	Data available		
Australian Bureau of Statistics (ABS) www.abs.gov.au	 Census data (demographic, employment, housing Manufacturing and retail censuses Building and construction data Social trends data Disability data Victims of crime survey Health data Tourism data 		
Department of Employment Labour Market Information Portal www.lmip.gov.au	 Centrelink Customer Populations by Main Allowance type Job Services Australia data Employment Industries and Occupations by area Unemployment rates Labour force data 		

Department of Immigration and Border Protection

www.immi.gov.au

 Statistics on permanent settlers to Australia by visa category using the Settlement Database

State Government Agencies www.nsw.gov.au

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Source	Data available
NSW Bureau of Crime Statistics and Research http://www.bocsar.nsw.gov.au/	 Crime statistics for NSW and LGAs. Specialist crime data and comparative trend analysis
NSW Police Force www.police.nsw.gov.au	 Mapped crime data by local area commands (available through local police stations) Crime statistics Annual customer satisfaction surveys (levels of reporting and police response)
Department of Family and Community Services www.community.nsw.gov.au	 Supported accommodation information Child abuse and domestic violence statistics
NSW Government Licensing Service (GLS)	Childcare license information
Department of Family and Community Services – Housing NSW www.housing.nsw.gov.au	 Waiting list numbers Client profiles Housing stock Information Quarterly rent and sales reports
Department of Education and Communities www.dec.nsw.gov.au	 Enrolments in government and private schools Enrolment of special groups (Aboriginal and Torres Strait Islanders; Non-English Speaking Background students)
NSW Fair Trading www.fairtrading.nsw.gov.au	Boarding house dataRental Bond Board data (rents, type of dwellings)

Bureau of Transport Statistics

www.bts.nsw.gov.au

- Passenger travel for all modes of transport (by traffic zones and statistical local areas)
- Freight movement survey
- Journey to work data
- Information on future road and public transport networks

Department of Planning & Environment

www.planning.nsw.gov.au

- Population projections (LGA and regions)
- NSW Household and Dwelling Projections Data
- Demographic trend analyses
- Urban Development Program (UDP) production data – new release areas
- Metropolitan Urban Development Program (MUDP) production data – established areas
- Employment Lands Development Program data
- Regional housing statistics and market analysis
- In-patient statistics
 - Community health data
 - Hospital facility data
 - Waiting list information
 - Range of health indicators (e.g. mortality data)
 - Alcohol and drug dependency data

SW Lands & Property Information

www.lpi.nsw.gov.au

NSW Health

www.health.nsw.gov.au

- Land title registration
- Property information
- Valuation
- Surveying
- Mapping

NSW Spatial Data Catalogue (NSDC) www.sdi.nsw.gov.au

- Central repository for the publication of metadata describing NSW Local and State Government spatial data
- Search for data, find out what data exists, where and how to access the data, the data's fitness for purpose, who/when and how the data was created, how often it is updated, the geographic extent of the dataset, as well as the rights and restrictions that apply to the dataset.

9. SOCIAL IMPACT ASSESSMENT REFERRAL PROCESS

Below is the referral process by which development applications, internal policies and external policies are reviewed and assessed by Council.

Rezoning/Development Application Referral Process



